Salary Supplement Reporting - Part I

In accordance with Texas Government Code, Section 659.0201(i), each state agency (agency) and higher education institution as defined by Texas Education Code, Sections 61.002, receiving a gift, grant, donation, or other consideration from a person that is designated to be used as a salary supplement for an employee of the agency, shall report certain information to the State Auditor. This questionnaire is designed to collect that information, which will be reported to the Legislature. Any questions concerning the interpretation of the section of the Texas Government Code should be directed to your agency’s or higher education institution’s legal counsel.

Please complete the sections of the questionnaire (Part I) and submit it to the State Auditor’s Office. Questions should be directed to the State Auditor’s Office at (512) 463-4025 or by email to auditor@trec.texas.gov between January 1 and January 31 of the current fiscal year. To ensure adequate time for processing, please submit all required information no later than January 31, 2023. Please note that reporting requirements that are specified in Article IX, Section 3.02, the General Appropriations Act (SBA and HSB Legislatures), should be reported separately. To report information as required by Texas Education Code, Sections 61.005(a) and (d), please complete Part II of this questionnaire.

Contact Information

Agency
The University of Texas at Austin
Legal Counsel Office
2303 E. Guadalupe St.
Austin, TX 78705
Phone: (512) 471-1765
Fax: (512) 471-8536
Email Address: leginfo@utexas.edu

Questionnaire

1. For fiscal years 2017, 2018, and 2019, did your agency or higher education institution receive a gift, grant, donation, or other consideration from a person that was designated to be used as a salary supplement for an employee of the agency, or an employee of a higher education institution?

No

2. If the answer to question 1 was "yes," please complete the information in the table below for each individual salary supplement.

Detailed Information for Each Salary Supplement

<table>
<thead>
<tr>
<th>Agency:</th>
<th>Position:</th>
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<tbody>
<tr>
<td>The University of Texas at Austin</td>
<td>Executive Director, State and System Reporting</td>
</tr>
</tbody>
</table>

Name of Named Person or Position

Name: Tomas G. Guajardo
Position: Executive Director, State and System Reporting

Type of Entity

Type of Entity: Individual

Did the agency or higher education institution accept a gift, grant, donation, or other consideration from a person that was designated to be used as a salary supplement for an employee of the agency, or an employee of a higher education institution?

Yes

Did the agency or higher education institution make this information available on its Internet website? If so, please provide a hyperlink.

Yes

If the agency or higher education institution does not make this information available on its Internet website, please answer the remaining questions.

Please list any internal or external oversight procedures your agency or higher education institution has established to monitor the use of any gifts, grants, donations, or other considerations that your agency or higher education institution receives.

The University of Texas at Austin has established oversight procedures for gifts, grants, and donations received. The Office of the Executive Vice President for Finance and Administration is responsible for ensuring compliance with these procedures.

4. If your agency or higher education institution has adopted conflict-of-interest provisions regarding the acceptance of a gift, grant, donation, or other consideration to be used as a salary supplement for an employee of the agency, or an employee of a higher education institution, please provide a hyperlink to the conflict of interest provisions.

UTS 180, "Conflicts of Interest, Conflicts of Commitment, and Outside Activities". https://www.utsystem.edu/sites/policy-library/policies/uts-180-conflicts-interest-conflicts-commitment-and-outside-activities

UTS 189, "Institutional Conflicts of Interest". https://www.utsystem.edu/sites/policy-library/policies/uts-189-institutional-conflicts-of-interest

5. Texas Government Code, Section 659.0201(b), requires that a state agency that accepts a gift, grant, donation, or other consideration from a person that is designated to be used as a salary supplement for an employee of the agency, shall post on the agency’s Internet website the amount of each gift, grant, donation, or other consideration provided by the person that is designated to be used as a salary supplement for an employee of the agency. This agency may not post the name of the person.

Did the agency or higher education institution make this information available on its Internet website?

No

If the answer to question 1 was "no," please go to question number 3.
Salary Supplement Reporting - Part II

In accordance with Texas Government Code, Section 659.0201(d), if the person providing a gift, grant, donation, or other consideration to the state entity for the purpose of a salary supplement is an entity created solely to provide support for the state entity, that entity shall report to the agency:

1. The name of each person who provides a gift, grant, donation, or other consideration to the state entity in an amount or having a value that exceeds $10,000, unless the person has made a request to the entity to remain anonymous; and
2. The amount or value of each specific gift, grant, donation, or other consideration.

Texas Government Code, Section 659.0201(e), states that a state agency that receives a gift, grant, donation, or other consideration described by Subsection (d) shall compile the information the agency receives under Subsection (d) into a report and submit the report to the State Auditor and the Legislature. This questionnaire is designed to collect that information, which may be reviewed by the State Auditor's Office to identify any conflicts of interest or any other areas of risk. The State Auditor's Office will report audit results to the Legislature. Any questions concerning the interpretation of this section of the Texas Government Code should be directed to your agency's or higher education institution's legal counsel.

Please complete this section (Part II) of the questionnaire and return it to the State Auditor's Office. Questionnaires should be emailed directly to Alexander.Sumners@sao.texas.gov no later than January 31, 2020. Please note that reporting requirements that are specified in Article IX, Section 3.02, the General Appropriations Act (84th Legislature), should be reported separately. To report information as required by Texas Government Code, Section 659.0201 (i), please complete Part I of this questionnaire.

Contact Information

Agency/Higher Education Institution: The University of Texas M. D. Anderson Cancer Center
Name: Tomas G. Guajardo
Title: Executive Director, State and System Reporting
Phone Number: (713) 563-2245
Email Address: tguajardo@mdanderson.org

Questionnaire

1. In fiscal years 2017, 2018, or 2019, did your agency or higher education institution receive a gift, grant, donation, or other consideration for the purpose of a salary supplement from an entity created solely to provide support for your agency or institution?

No

2. If the answer to question 1 was "yes," please complete the information in the table below for each individual salary supplement. (Please insert additional rows if necessary.) If the answer to question 1 was "no," please continue to number 3 – Additional Comments.

<table>
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<tr>
<th>Please document the fiscal year here.</th>
<th>Please list the name of the entity created solely to provide support for your agency or higher education institution.</th>
<th>Please list the name of each person who provided gifts, grants, donations, or other considerations to the entity in an amount or having a value that exceeds $10,000, unless the person has made a request to the entity to remain anonymous. (If the person requested to remain anonymous, please place the word &quot;Anonymous&quot; in the column.) Information provided to a higher education institution under Texas Government Code, 659.0201, Subsection (d), is not subject to disclosure under Chapter 552.</th>
<th>If the amount or value of each specific gift, grant, donation, or other consideration exceeded $10,000, what was the amount? Information provided to a higher education institution under Texas Government Code 659.0201, Subsection (d), is not subject to disclosure under Chapter 552.</th>
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3. Please include any additional comments in the box below.